



CHIEF NATIONAL GUARD BUREAU INSTRUCTION

NG-J8
DISTRIBUTION: A

CNGBI 9551.01
13 November 2013

NATIONAL GUARD BUREAU RESEARCH OVERSIGHT COUNCIL CHARTER

References:

- a. DoD Directive 5105.77, 21 May 2008, “National Guard Bureau”
- b. 48 C.F.R § 35.017-1, “Sponsoring Agreements”
- c. AR 5-21, 25 May 2012, “RAND Arroyo Center”
- d. DoD Under Secretary of Defense for Acquisition, Technology, and Logistics Memorandum, 02 May 2011, “Federally Funded Research and Development Center (FFRDC) Management Plan and Associated How-to-Guides”

- e. 10 U.S.C. § 10301, “Reserve Forces Policy Board”

1. Purpose. Under the authority of reference a, and in accordance with (IAW) policies established in references b through e, this instruction implements the Research Oversight Council (ROC) as an advisory council to the Chief of the National Guard Bureau (CNGB).

2. Cancellation. None.

3. Applicability. This instruction applies to all elements of the National Guard Bureau (NGB).

4. Policy.

- a. This instruction implements the ROC and its governance structure to fulfill advisory responsibilities for the CNGB by developing, executing, and implementing research in support of the strategic direction of the National Guard (NG).

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- b. Enclosure A outlines the roles of the members on the ROC and its governance structure.
- c. Enclosure B outlines the functional responsibilities for each organization represented on the ROC, as well as the responsibilities for organizations charged with assisting the ROC in its duties and processes.
5. Definitions. None.
6. Responsibilities. See Enclosures A and B.
7. Summary of Changes. This is the initial publication of CNGBI 9551.01.
8. Releasability. This instruction is approved for public release; distribution is unlimited. NGB directorates, The Adjutants General (TAG), the Commanding General of the District of Columbia, and Joint Force Headquarters-State may obtain copies of this instruction through <<http://www.ngbpdc.ngb.army.mil>>.
9. Effective Date. This instruction is effective upon publication and must be reissued, cancelled, or certified as current within five years of its publication.


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Enclosures:

- A -- Charter of the National Guard Bureau Research Oversight Council
B -- Functional Responsibilities
GL -- Glossary

ENCLOSURE A

CHARTER OF THE NATIONAL GUARD BUREAU RESEARCH OVERSIGHT
COUNCIL

1. Background.

a. ROC Support to the CNGB Role. The ROC supports the CNGB role by establishing a framework for managing and directing research efforts to advance NG equities.

b. ROC Role. The ROC will:

(1) Provide advice to the CNGB and other NG leaders on issues relating to research opportunities and how they support the strategic direction of the NG.

(2) Enable greater cooperation across the National Guard Joint Staff (NGJS), Army National Guard (ARNG), and Air National Guard (ANG) by developing a unified NG position on all research opportunities.

(3) Provide the NG with a complete research solution set that combines organic capability along with external mechanisms in order to analyze complex issues.

(4) Execute research that focuses on long-term planning concepts that will position the NG for substantive input to strategic Department of Defense (DoD) documents that will shape the force well into the future.

2. Membership. The ROC will be composed of an Executive Leadership Board (ELB), a ROC Board, and Research Advisory Boards (RAB).

a. ELB. The ELB will provide senior-level oversight and strategic guidance to the ROC. The ELB will properly frame the approach that the ROC will take when determining the priority of effort toward research opportunities.

(1) CNGB. The CNGB serves as convening authority and provides overall strategic guidance to the ELB.

(2) Vice Chief of the National Guard Bureau (VCNGB). The VCNGB will chair the ELB and establish prioritization of research efforts based on CNGB guidance and NG requirements. VCNGB will also serve as the primary interface with the ROC Chair.

(3) The Special Assistant to the CNGB (TSA). The TSA will, on an as-needed or as-directed basis, assist the VCNGB in facilitating the activities of the ELB and providing guidance to the ROC.

(4) Directors of the Army National Guard (DARNG) and Air National Guard (DANG). The DARNG and DANG will assist the VCNGB in facilitating the activities of the ELB and providing guidance to the ROC.

(5) TAG representative. The TAG representative will provide the state Guard perspective on required research.

b. ROC. The ROC will be composed of the following principal members:

(1) VCNGB. The VCNGB is the convening authority for the board and will provide leadership and strategic guidance through the ELB.

(2) TSA. The TSA will, on an as-needed or as-directed basis, assist the VCNGB in providing guidance to the ROC. He or she will coordinate the efforts of the NGJS regarding the ROC.

(3) Director of Resource Management and Comptroller (NG-J8). The Director, NG-J8, will serve as the ROC Chair and is responsible for providing board oversight, ensuring the board executes the charter IAW strategic guidance from the ELB. The director is also responsible for reporting ROC results to the ELB as appropriate.

(4) NGJS Directors. The directors of the NGJS directorates will serve as points of contact for functional areas in bringing forward research opportunities supporting strategic initiatives pursued by senior leadership. The directors will coordinate and disseminate research findings accordingly.

(5) Deputy DARNG. The deputy DARNG will serve as the ARNG primary conduit for bringing forward research opportunities that the ROC will consider and ensure the results of such research, approved and executed by the board, are coordinated through ARNG to ensure maximum application in decision making. The deputy will coordinate and disseminate research findings accordingly.

(6) Deputy DANG. The deputy DANG will serve as the ANG primary conduit for bringing forward research opportunities that the ROC will consider and ensure the results of such research, approved and executed by the board, are coordinated through ANG to ensure maximum application in decision making. The deputy will coordinate and disseminate research findings accordingly.

(7) Director of the Chief's Action Group (CAG). The Director, CAG, will ensure research opportunities that support the CAG's responsibility are brought to the ROC for coordination. The director will coordinate and disseminate research findings accordingly.

c. As-needed Members. These members will be included at the discretion of the ROC Chair:

(1) National Guard Bureau Chief Counsel. The National Guard Bureau Chief Counsel will ensure all actions of the board comply with applicable law, regulation, and DoD policy. Additionally, the Chief Counsel will coordinate with the Office of the Secretary of Defense (OSD), the Chairman of the Joint Chiefs of Staff, and other Federal agencies on legal matters involving the NG, and advise TAGs and State Judge Advocates as appropriate.

(2) The Principal Assistant Responsible for Contracting (PARC). The PARC will ensure all actions of the board adhere to the Federal Acquisition Regulation and provide advice on the effective use of contracting vehicles.

(3) Reserve Forces Policy Board (RFPB) Representative. The RFPB will serve as an independent advisor IAW reference e. The RFPB representative will contribute to the ROC by using existing OSD-level relationships to enhance the impact of research findings to promote Reserve initiatives.

(4) Assistant Secretary of Defense for Reserve Affairs (ASD(RA)). The ASD-RA will proactively deliver credible advice and information about the capabilities of Reserve Components.

(5) Senior Enlisted Advisor (SEA). The SEA will advise the ROC on all enlisted matters affecting training, effective utilization, health of the force, and enlisted professional development.

(6) Strategic Planning System (SPS) Representative. Provide ANG perspective on research that supports the specific strategic initiatives developed by SPS.

d. RAB. RABs will be established by the ROC, as necessary, for high priority research opportunities. Over time, RABs may be disestablished and new RABs formed, as necessary. These advisory boards will bring together requirements, resources, policy, and acquisition leaders to accomplish the following:

(1) Vet issues around complex cross-organizational research opportunities.

(2) Identify options for key decision makers.

(3) Implement ROC decisions for complex, high-priority research opportunities.

ENCLOSURE B

FUNCTIONAL RESPONSIBILITIES

1. ELB Functions.

- a. Provide strategic guidance to the ROC to assist in prioritizing research opportunities.
- b. Review and approve ROC-proposed research agenda.
- c. Engage senior DoD and interagency leadership, as needed, to promote research opportunities or findings.
- d. Approve annual ROC budget.

2. ROC functions.

- a. Strategically assess, approve, and accelerate research efforts that align to ELB guidance.
- b. Capture and oversee all research opportunities submitted by the various functional areas of the NGB.
- c. Determine the level of funding available for research.
- d. Recommend annual budget to ELB; once approved, allocate appropriate levels of resources toward research opportunities.
- e. Determine the correct mechanism (for example, the FFRDC) to conduct analyses.
- f. Establish an Executive Advisory Board (EAB) to define the project scope for approved research opportunities highly complex in nature or requiring modification to scope of research.
- g. Provide oversight of EABs and serve as the final authority in permitting NGB funding.
- h. Develop statements of work and fund obligation documents.
- i. Advise and assist in developing hypothesis and assumptions for research opportunities.
- j. Approve all modifications to existing research opportunities.

- k. Appoint a project director for each approved research opportunity.
- l. Prepare an annual report to the Office of the Chief of the National Guard Bureau that details the number of research opportunities, type of research, funding levels, and overall percentage of work given to analysis mechanisms.
- m. Ensure required level of involvement from other appropriate NG subject matter experts.

GLOSSARY

PART I. ABBREVIATIONS AND ACRONYMS

ANG	Air National Guard
ARNG	Army National Guard
ASD(RA)	Assistant Secretary of Defense for Reserve Affairs
CAG	Chief's Action Group
CNGB	Chief of the National Guard Bureau
DANG	Director of the Air National Guard
DARNG	Director of the Army National Guard
DoD	Department of Defense
EAB	Executive Advisory Board
ELB	Executive Leadership Board
FFRDC	Federally Funded Research and Development Center
IAW	in accordance with
NG	National Guard
NGB	National Guard Bureau
NG-J8	Director of Resource Management and Comptroller
NGJS	National Guard Joint Staff
OSD	Office of the Secretary of Defense
PARC	Principal Assistant Responsible for Contracting
RAB	Research Advisory Board
RFPB	Reserve Forces Policy Board
ROC	Research Oversight Council
SEA	Senior Enlisted Advisor
SPS	Strategic Planning System
TAG	The Adjutant General
TSA	The Special Assistant to the Chief of the National Guard Bureau
VCNGB	Vice Chief of the National Guard Bureau

PART II. DEFINITIONS

(NONE)